## **ORIGINAL ARTICLE**



## Supplemental oxygen administration practices in post anesthesia care unit of a tertiary care hospital: an observational prospective study

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## ABSTRACT

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Received: 21 Nov 2017 Reviewed: 8 Jun 2018 Corrected: 21 Jun 2018 Accepted: 21 Jun 2018 **Introduction:** Oxygen supplementation in post anesthesia care unit (PACU) is a safe, simple and effective method to ensure adequate oxygenation in most patients recovering from anesthesia. Despite dearth of clinical studies demonstrating the need for routine oxygen therapy in every postoperative patient, routine administration of oxygen is common in the PACU irrespective of the type of surgery, physical status of patient or technique of anesthesia employed, thus wasting important resource. The study was conducted observesupplemental oxygen administration practices to adult patients in PACU of a tertiary care teaching hospital.

**Methodology:** This was a prospective observational study with inclusion of all adultAmerican Society of Anesthesiologists (ASA) physical status I, II, and III patients undergoing general anesthesia and central neuraxial blocks being shifted to PACU. Institutional ethical review committee approval was taken. A trained research assistant collected data with defined variables in PACU of a tertiary care hospital in a specially designed form.

**Results:** A total of five hundred and eighty five adult patients were enrolled in this study. Four thirty one (74%)patients received supplemental oxygen in PACU. Among 431 patients who received supplemental oxygen in recovery room, 373 (86%) patients received oxygen therapy without any specific reason. In fourteen patients (2.4%), transient hypoxemia was observed, increasing oxygen flow and addressing the underlying cause managed that.

**Conclusion:** Supplemental oxygen practice was not standardized in the PACU. Majority of the patients received oxygen supplementation without any specific clinical indication. Hypoxic event in PACU is not common and can easily be managed with vigilant monitoring and timely intervention.

Key words: Post anesthesia care unit; Supplemental oxygen; Practices

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## INTRODUCTION

The importance of post anesthesia care unit (PACU) is well established in the prevention and proactive management of post-operative anesthetic and

surgical complications thus facilitating safe discharge of the surgical patients to the ward or home. Oxygen supplementation in the PACU is a safe, simple and usually effective method to ensure adequate oxygenation in most patients recovering from anesthesia. Despite the lack of studies demonstrating the need for routine oxygen therapy in every postoperative patient, routine administration of oxygen is common in the post-anesthesia recovery room irrespective of the type of surgery, physical status of patient or technique of anesthesia employed. The incidence of hypoxemia in PACU ranges from 12%-30% depending upon the cut-off of 94-92% SpO<sub>2</sub> for defining desaturation.1American Society of Postanesthesia Nurses adapted standards requiring the use of pulse oximetry in the recovery room setting. Consultants and members of American Society of Anesthesiologists are equivocal regarding routine administration of supplemental oxygen in the post-anaesthesia care unit but they recommend administration of supplemental oxygen in the recovery room to patients at risk of hypoxemia.<sup>2</sup>

There is evidence available that oxygen is not needed in up to 63% of patients during the immediate postoperative period. Gift et al. recommended that oxygen saturation levels should be noted at the time of admission to the PACU and those patients with oxygen saturations of 92% or less should be given oxygen therapy. Oxygen at 4litres/minute via nasal cannula maintains adequate oxygen saturation levels.<sup>3</sup> Supplemental oxygen should be used when needed. Pulse oximetry provides objective value to determine the need for oxygen supplementation. The use of pulse oximetry in the PACU helps identifying hypoxemic patients and selectively recommending oxygen therapy.<sup>4</sup>

In the current evidence-based medical practice, there is an increasing understanding of acknowledging oxygen as a drug with specific biochemical and physiologic actions.<sup>5</sup> Several factors have been identified in literature that predispose patient to hypoxemia in the immediate postoperative period like patients factors, anesthetic technique and surgery. Keeping in mind these factors will help inidentifying patients who are more prone to develop hypoxemia and should be more closely monitored by the PACU staff. Such patients may receive prophylactic oxygen therapy.<sup>6</sup>

Significant cost savings can be done if supplemental oxygen is used judiciously. In this time of economic pressure on medicine and routine availability of SpO<sub>2</sub>monitors in the PACU, it is time to rethink our use of routine supplemental oxygen in PACU patients.<sup>7</sup>

The objective of the study was to evaluate supplemental oxygen administration practices to adult patients in post anaesthesia care unit of a tertiary care hospital.

Our study hypothesis was based on our observation that there was a large proportion of unjustified oxygen supplementation in PACU resulting in wastage of an important medical resource.

## METHODOLOGY

This Prospective observational study was conducted in the main PACU of a tertiary care hospital from October to December 2016, after approval from Ethical review Committee (Protocol Ref. number: 4350-Ane-ERC-16). A total of 585 patients were enrolled for the study. All adult patients having ASA physical status I, II and III patients brought to post anesthesia care unit (PACU) of main operation theatres after elective surgery under general anesthesia and central neuraxial blocks (spinal and epidural) were included in this study. Pediatric surgical patients, patients with hemodynamic instability, and the patients undergoing cardiothoracic surgery, caesarean delivery and craniotomy were excluded from this study. Primary outcome of the study was to see the extent of unjustified oxygen administration in the PACU and secondary outcome was to see the incidence of hypoxic events in the PACU.

According to the hospital's PACU policy, all patients coming to PACU after general an aesthesia receive supplemental oxygen through facemask. In each patient, ASA standard monitoring was routinely done in the PACU including electrocardiogram (ECG), percentage oxygen saturation(SpO<sub>2</sub>),non invasive blood pressure (NIBP) on arrival, every 10 minutes interval or whenever needed till discharge from PACU.

Hypoxemia was defined as  $\text{SpO}_2\text{of }90\%$  and partial pressure of oxygen (PaO<sub>2</sub>)of 60 mm Hg on breathing room air for more than 30 second. Oxygen supplementation is defined as oxygen administration through nasal prong or Hudson facemask at 1-5 lit/min or 6-10 lit/min respectively. Irrational oxygen supplementation was defined as oxygen administration at room air saturations of 94% or more.

A trained research assistant collected the data in a specially designed form. The research assistant monitored oxygenation with the pulse oximetry continuously and recorded the  $\text{SpO}_2$  values in the form during the stay of selected patient in PACU. Patient confidentiality was maintained by mentioninonly medical record number (MR#) in the form. The primary investigator for safe record possession kept all the forms. Patient's age, sex, weight, height, ASA

## oxygen administration practices in PACU

physical status, comorbid condition, diagnosis, type of surgical procedure, duration of surgery and anesthetic technique used were all noted in the form. If hypoxic event occurred in any adult patient under observation in PACU, its cause and management were also noted in the form.

All statistical analyses were performed using Statistical Packages for Social Sciences version 19 (SPSS Inc., Chicago, IL). Mean and standard deviation were computed for age, height, weight, and BMI. Frequency and percentage were computed for gender, ASA status, anesthetic technique, oxygen supplementation and reason for oxygen supplementation.

## RESULTS

A total of 585 adult patients were enrolled and analyzed in this study. 255(43.6%) were males while 330 (56.4%) were female (Table 1).

Table 1: Demographic characteristics of patients(n=585)

Variables	Point Estimate	(Min-Max)/ Percentage
Age (Years)	46.04±15.96	(16-93)
Weight (Kg)	70.75+16.00	(35-140)
Height (cm)	161.12+9.47	(135-193)
BMI (kh/m2)	$27.27 \pm 5.87$	(18-56)
Gender: Male/Female	255/330	43.6%/56.4%
ASA Status: I/II/III	158/313/114	27/53.5/19.5%

\*Data are presented as mean±SD and n (%), SD = Standard deviation, ASA = American Society of Anesthesiologists Min = minimum Max = Maximum

Anesthesia techniques were variable as per the need of surgical procedure and choice of anesthetist (Table 2).

#### Table 2: Anaesthetic technique (n=585)

Anaesthetic technique	Point Estimate	Percentage
A. General Anesthesia	534	91.3%
LMA	95	16.24%
I-Gel	54	9.23%
ETT	385	65.82%
B. Regional Anesthesia	51	8.7%
Spinal	45	7.70
Epidural	4	0.70%
Peripheral Nerve Block	2	0.30%

Data are presented as n (%)

In the PACU, supplemental oxygen therapy was ordered by the primary anesthesia team in 401 (74%) patients while in 30 (6%) patients recovery staff provided supplemental oxygen (Figure 1). There were 87% patients (373/431) who received oxygen therapy without any specific reason (Table 3).

# Table 3: Reasons of oxygen supplementation in recovery room (n=431)

Reasons of oxygen supplementation	Oxygen given by Primary anesthesia team (n=401)	Oxygen given by recovery room staff (n=30)
No specific reason	345(86%)	28(93%)
Major Surgery	12(3%)	-
Low SpO2 (<95%)	40(10%)	2(7%)
Morbid Obesity	4(1%)	-

Results are presented as n (%)

In this study, incidence of hypoxemia in PACU was only 2.4%. Hypoxia was transient and all patients responded to appropriate oxygen therapy without any hypoxic damage. Majority were general surgical and ENT surgery patients with age > 50 years or body mass index (BMI) > 35 kg/m<sup>2</sup> or combination of both.

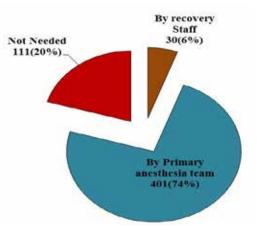


Figure 1: Oxygen supplementation given in recovery room (n=542)

Majority of patients (approximately 80%) received supplemental oxygen through Hudson mask while others via nasal prong. Mean duration of oxygen therapy in PACU in the study was 31 min with oxygen flow ranging from 6 to 10 lit/min.

## DISCUSSION

The study has demonstrated the magnitude of the irrational supplemental oxygen administration to patients in PACU of a tertiary care hospital. It is

important to understand the rationale of oxygen administration for standardized goals of preventing hypoxemia, to reduce the work of breathing and to reduce myocardial work. With the advent of evidence based clinical practice, administration of supplemental oxygen to the PACU patients must be standardized.

Literature shows that postoperative hypoxemia is caused by inadequate ventilation as a result of residual effects of anestheticagents and use of neuromuscular blocking drugs. This adds to mismatch in ventilation/ perfusion ratio caused mainly by atelectasis zones in lung-dependent regions.8 Other causes may include low cardiac output states, anemia, shivering, pain and agitation.9 The Canadian Anesthesiologists' Society, American Society of Anesthesiologists (ASA), World Federation of Societies of Anesthesiologists, and the World Health Organization, as a standard of care monitoring in the intraoperative and immediate postoperative period, have advocated pulse oximetry.<sup>10,11</sup> Much of decline in the hypoxic events is attributable to improvements in the safety of anesthesia administration and monitoring, including the nearly universal use of pulse oximetry that has been associated with the earlier diagnosis and correction of hypoxemia.

Pulse oximetry has contributed to the improved identification of hypoxemia and cardiac events in PACU. It is now a standard monitor that guides oxygen flow in recovery and after discharge in surgical ward, but without any influence on postoperative mortality or complication rate.<sup>4</sup> SpO<sub>2</sub> limit of 94% was related to hypoxemiain 15%<sup>12</sup> and 37%<sup>7</sup> of patients. With SpO<sub>2</sub> limit of 94%, patients after general anesthesia without supplemental oxygen in the PACU had higher incidence of hypoxemia (44.7%) than patients after regional anesthesia (24%). Even patients after general anesthesia with supplemental oxygen showed hypoxemia (26.1%).13 In studies with 92% as SpO, limit, the incidence of hypoxemia was 7%, 15% and 23.14% of patients.<sup>14-16</sup> After gynecological laparoscopic surgeries, keeping SpO, limit of 94%, only15% of patients needed supplemental oxygen in PACU.12

Evidence showed that the variables that have significant association with immediate postoperative hypoxemia include ASA physical status III, age > 55 years, history of COPD,  $\text{SpO}_2 < 95\%$  preoperatively, general anesthesia, inability to keep hand grip for 15 seconds and clinical signs of inadequate ventilation. Regional anesthesia, with or without perioperative sedation with diazepam or midazolam was related

to lower incidence of immediate post-operative hypoxemia.<sup>16</sup>

Identification of post-operative patients at risk of hypoxemia should be identified. In accordance with our study findings, literature has demonstrated that elderly patients have special considerations in relation to post-operative hypoxemia due to decrease in respiratory reserve, mechanical changes in the chest wall and changes in pulmonary volumes and capacities.<sup>17</sup>Older adults are more sensitive to depressing effects of inhalational an aesthetics, sedatives and opioids, so the incidence of postoperative hypoxemia is higher in this group of patients.<sup>18</sup>

Monitoring patient's oxygenation with pulse oximetry and detecting hypoxemia also depends on the level of motivation of PACU staff to detect it.<sup>19</sup> It is possible that the recognition of factors leading to hypoxemia could be used to educate and encourage PACU staff aiming at improving surveillance and patients monitoring, thus detecting hypoxemic episodes and earlymanaging them.

Respiratory complications in the post anesthesia period result in morbidity and mortality thus consolidating the need for vigilant monitoring. In the PACU respiratory complications are secondary to major unanticipated ventilation problems, including hypoxemia, hypoventilation (respiratory rate < 8breaths/min or arterial carbon dioxide partial pressure  $[PCO_{3}] > 50 \text{ mmHg}$ ) or upper-airway obstruction (laryngospasm or stridor), that necessitates a physical or pharmacological treatment (e.g. insertion of an oral/nasal airway, ventilation, tracheal intubation, opioid antagonism, muscle relaxant reversal).7 Pulmonary complications including hypoxemia may even be more likely than cardiac complications to predict long-term mortality after surgery in high-risk population.<sup>20</sup> High risk patients for post-operative hypoxemia in context of preoperative assessment, type of surgery and intraoperative course should be identified and triaged accordingly in the PACU for supplemental oxygen therapy, thus separating them from low risk patients for postoperative hypoxemia. Careful monitoring with a pulse oximetry and giving oxygen if appropriate are essential to prevent desaturation during early postoperative period.<sup>21</sup>

In a study keeping  $\text{SpO}_294\%$  as cutoff, the incidence of hypoxemia on arrival in PACU was 12%.<sup>22</sup>The study concluded that postoperative oxygen therapy should be reserved for patients with  $\text{SpO}_2 < 94\%$  on arrival in the PACU. Significant cost saving can be done by appropriately providing oxygen supplementation

to patient in need and avoiding from those patients who do not require it.<sup>22</sup> The study has consolidated our suggestion to administer supplemental oxygen when it is required. DiBenedetto RJ et al. emphasized the administration of oxygen in PACU based on pulse oximetry values ( $SpO_2 < 94\%$ ) and suggested considerable cost savings without compromising patient care. They showed in their study that supplemental oxygen was unnecessary in 63% of patients for the duration of their PACU stay. Cost savings to the 307 patients in one study not receiving oxygen was \$31,928 if it had been billed separately.<sup>7</sup>

In the current study, incidence of hypoxemia was only 2.4% (SpO<sub>2</sub> less than 94%). Hypoxia was transient and all patients responded to oxygen therapy without any hypoxic damage. The major cause of transient hypoxia was morbid obesity and age greater than 50 years. It was more common in patients undergoing laparotomies and ENT surgeries.

Monitoring using pulse oximetry is now standard of care practice in the PACU. It is now time to apply the objective data it supplies, thereby creating cost savings while maintaining patient care standards. Unnecessary application of oxygen face mask, when patient is recovering from anesthesia and getting awake at times, is uncomfortable for patients. Some patients may get claustrophobic due to its application.

Unjustified oxygen supplementation has resource wastage impact. If oxygen is delivered at a rate of 6 liter/minute for half an hour(which is observed as mean duration of supplemental oxygen in this study), it results in consumption of 180 liters of oxygen. In our hospital if patient receives oxygen supplementation in PACU he/she will be charged approximately 10 USD. Routinely around 30 elective patients are admitted in PACU daily, so it becomes 300 USD per day, would be 9000 USD per month. Hence, using oxygen supplementation out of habit and without specific clinical indication will have huge financial impact. On the other hand if we provide oxygen to our PACU patients appropriately for specific indication and with justification then we may be saving cost as well as important medical resource.

With the proof of oxygen as a drug, it should be administered cautiously and in critical cases should be improvised as per the individual patient needs. As healthcare professionals, oxygen should not be administered merely on verbal orders, instead should be prescribed with written orders with specified flow rate, and duration keeping in mind the side effects that can occur as a result of prolonged oxygen therapy. Oxygen supplementation may have false sense of security regarding appropriate oxygenation and ventilation. In patients with regular spontaneous ventilation, supplemental oxygen often masked the ability to detect abnormalities in respiratory function in the PACU. Without the need for capnography and arterial blood gas analysis, pulse oximetry is a useful tool to assess ventilatory abnormalities, but only in the absence of supplemental inspired oxygen. In patients are able to maintain  $SpO_2 > 90\%$  on FiO<sub>2</sub> of 0.21, pulse oximetry monitoring during room air breathing is a useful tool to assess ventilation, without the need for capnography or arterial blood gas analysis.<sup>23</sup>

The limitation of the study was that it was an observational study limited to PACU stay of the post-surgical patients who were in ASA I, II, and III physical status. The patients were not followed in the ward or special care to monitor any desaturation events plus the intervention done. Pulse oximetry has well-known technical limitations and various sources of artifact that may have influenced the quality of our data. Sensor malposition can lead to apparent hypoxemia. Poor peripheral perfusion, hypothermia, shivering, hypotension, and advanced age have been shown to yield low-quality pulse oximetry readings.<sup>24</sup>

The study has demonstrated the scope of the problem with suggestions for future direction to rationalize supplemental oxygen in the PACU of the national tertiary care hospitals, by formulating nationwide guidelines.

## CONCLUSION

This study showed that the supplemental oxygen practice is not standardized in the PACU. Majority of the patients received oxygen supplementation without any specific clinical indication. Hypoxic events in PACU are not common in the presence of vigilant healthcare staff with optimal monitoring and if they occur, they can easily be managed with timely intervention. Hence guidelines need to be formulated to standardize this clinical practice thus avoiding the wastage of an important resource.

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#### Authors' contribution:

MIAK& ASS: Conduct of study, literature search, statistical analysis or manuscript editing FK: Concept of research question

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